

Points to note for account application

The following is a list of the required original documents and information you need to provide to us in person by visiting any of our HSBC branches for account application.

- a. If you are a Hong Kong permanent resident aged 18 or above, please provide:**
- Hong Kong identity card, and
 - Residential address proof (applicable to investment account application only), please refer to "Examples of address proof" section for details.
- b. If you are a Hong Kong non-permanent resident aged 18 or above, please provide:**
- Identification document, for example,
 - 1) Hong Kong identity card, or
 - 2) A valid travel document with name, date of birth and travel document number, and
 - Current residential address proof (applicable to investment account application only). Please refer to "Examples of address proof" section for details.
- c. If you are a non-HK resident aged 18 or above, please provide:**
- A valid travel document with name, date of birth and travel document number, and
 - Current residential address proof (applicable to investment account application only). Please refer to "Examples of address proof" section for details.
- d. If you are aged between 11 and 17^o, please provide:**
- Identification document as mentioned in a, b or c above depending on your residency

Please visit our branch with your parent or guardian to apply for an account. We may need to verify and record your parent's or guardian's identity.

^o Integrated account and investment account are not applicable to customers aged between 11 and 17

We may also ask you to provide the following information where appropriate:

- Nationality(ies)
- Employment information including occupation, employer/business and monthly salary
- Purpose of account
- Reasons for setting up account in Hong Kong
- Initial and ongoing sources of wealth and/or income
- Level of activity anticipated in terms of transaction amount and number of transactions
- Source and description of account opening fund
- Types of banking services to be used
- Jurisdiction of Residence (that is the place where the applicant is liable to taxation by reason of residence) and Taxpayer Identification Number or its Functional Equivalent (TIN)
- Full name (in English), date of birth, nationality(ies) and place of residence of any contributor who regularly makes payment into your account, with his/her consent regarding the personal information disclosure to us

Please note that the above information is for your reference only. You may be asked to provide supplementary documents if necessary. We may also need to take a copy of your identification document and address proof if considered appropriate. We have the discretion in deciding whether to approve the account application. If you have any questions, please contact our customer service on (852) 2233 3000 or visit any of our branches in Hong Kong.

Please inform us promptly if there are any changes to your information submitted and respond promptly to request for information from us.

Examples of address proof

The following list provides some examples of address proof.

1. A recent utility bill issued to the applicant within the last 3 months
2. A letter from a Hong Kong employer together with proof of employment that confirms the applicant's residence at a stated address in Hong Kong
3. A letter from a Hong Kong university or college that confirms the applicant's residence at a stated address
4. A letter from a Hong Kong nursing or residential home for the elderly or disabled confirming the residence of the applicant
5. A letter from an immediate family member with which the applicant resides, who confirms that the applicant lives at an address in Hong Kong, and sets out the relationship between the applicant and that immediate family member, together with evidence that that immediate family member resides at the same address with the applicant
6. Government rates demand note/tax demand note issued to the applicant within the last 3 months
7. Where the applicant is a domestic helper, a current Hong Kong domestic helper employment contract stamped by an appropriate Consulate and a copy of the applicant's visa within validity date
8. A Hong Kong tenancy agreement entered into by the applicant which has been duly stamped by the Inland Revenue Department
9. A lawyer's confirmation of property purchase, or legal document recognising the applicant's title to the property
10. Bank statement issued to the applicant within the last 3 months
11. Mobile phone or pay TV statement issued to the applicant within the last 3 months
12. Recent correspondence from a Government department or agency that is issued to the applicant within the last 3 months
13. For non-Hong Kong resident applicants, a government-issued photographic driving license or national identity card containing the current residential address or bank statements issued by a bank to the applicant within the last 3 months
14. A statement issued by a licensed corporation* or an authorized insurer† to the applicant within the last 3 months

* For the list of licensed corporations, please refer to the website of the Securities and Futures Commission (SFC) on its members:

<https://www.sfc.hk/publicregWeb/searchByName?locale=en>

† For the list of authorised insurers, please refer to the website of the Insurance Authority (IA) on its members:

https://www.ia.org.hk/en/supervision/reg_insurers_lloyd/register_of_authorized_insurers.html

Remarks:

1. If the address proof is neither in English nor Chinese, an English/Chinese translation of the same document should be obtained. The translator (e.g. CPA, lawyer, notary public, domestic helper agency, translation company etc.) should sign and date the translated copy. The translator's name and position should also be provided clearly in capital letters and stamped with the company chop (if applicable).
2. Address proof in the form of a Post Office box (P.O. box) or C/O address is not acceptable.
3. Address proof that are acceptable to us may vary from time to time and is subject to our final decision.